Foundation

A foundation is that part of structure which transfers the load of the structure to the sub soil.



Shallow Foundation

- 1. Strip Footing or Continuous Footing (L>>B)
- Provided for load bearing wall
- Provided for a row of columns which are closely spaced that their footings overlap each other.



2. Spread Footing or Isolated Footing

- Provided to support an individual column
- Circular, Square and rectangular





3. Combined Footing

• Provided to support more than one column





4. Mat or Raft Foundation

• Large slab supporting number of columns and walls under the entire structures



Choice of particular type of foundation depends on the

- Magnitude of loads
- Nature of the subsoil strata
- Nature of the superstructure
- Specific requirements

Two basic criteria for design of foundation

- Shear failure or Bearing capacity criteria
- Settlement criteria

Shear failure or Bearing Capacity Criteria :

The foundation should be design such that the soil below does not fail in shear



$$Q_g = Q_c + W_f + W_s$$

 Q_c = wt. of superstructure W_f = wt. of footing

 $W_f = wt. of soil/fill$

The gross pressure or the gross load intensity (q_a)

$$q_g = Q_g / A$$

Ultimate bearing capacity (q_u): The maximum gross intensity of loading that soil c an support before it fails in shear.

Net ultimate bearing capacity (q_{nu}) : The maximum net intensity of loading at the base of the foundation that the soil c an support before fail in shear.

$$q_{nu} = q_u - \gamma D_f$$

Net safe bearing capacity (q_{ns}) : The maximum net intensity of loading that soil c an safely support without the risk of shear failure.

$$q_{ns} = q_{nu}/F$$

Gross safe bearing capacity (q_s) : The maximum gross intensity of loading that soil c an carry safely without failing in shear.

$$q_{s} = \frac{q_{nu}}{F} + \gamma D_{f}$$
$$q_{s} = \frac{q_{u} - \gamma D_{f}}{F} + \gamma D_{f}$$

Settlement Criterion

Safe bearing pressure : The maximum net intensity loading that can be allowed on the soil without the settlement exceeding the permissible value.

Allowable bearing pressure (q_{a-net}) : The maximum net intensity of loading that can be imposed on the soil with no possibility of shear failure or the possibility of excessive settlement. It is the smaller of the net safe bearing capacity (shear failure criterion) and safe bearing pressure (settlement criterion)

Shallow Foundation: Bearing Capacity II

Two basic criteria for design of foundation

- Shear failure or Bearing capacity criteria
- Settlement criteria

Shear failure or Bearing Capacity Criteria :

The foundation should be design such that the soil below does not fail in shear



$$Q_g = Q_c + W_f + W_s$$

 Q_c = wt. of superstructure W_f = wt. of footing

 $W_f = wt. of soil/fill$

The gross pressure or the gross load intensity (q_a)

$$q_g = Q_g / A$$

Ultimate bearing capacity (q_u) : The maximum gross intensity of loading that soil can support before it fails in shear.

Net ultimate bearing capacity (q_{nu}) : The maximum net intensity of loading at the base of the foundation that the soil can support before fail in shear.

$$q_{nu}$$
 = q_u – γD_f

Net safe bearing capacity (q_{ns}) : The maximum net intensity of loading that soil can safely support without the risk of shear failure.

$$q_{ns} = q_{nu}/F$$

Gross safe bearing capacity (q_s) : The maximum gross intensity of loading that soil c an carry safely without failing in shear.

$$q_{s} = \frac{q_{nu}}{F} + \gamma D_{f}$$
$$q_{s} = \frac{q_{u} - \gamma D_{f}}{F} + \gamma D_{f}$$

Settlement Criterion

Safe bearing pressure : The maximum net intensity loading that can be allowed on the soil without the settlement exceeding the permissible value.

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Modes of soil failure

General shear failure (Dense sand / stiff clay)

- A well defined failure surface
- A bulging of ground surface adjacent to the foundation
- The ultimate load can be easily located.



c _u (kPa)	consistency	D _r (%)	consistency
0 – 12.5	very soft	0-15	very loose
12.5-25	soft		
25-50	medium	15-35	loose
50-100	stiff	35-65	medium
100-200	very stiff	65-85	dense
>200	hard	85-100	very dense

Local shear failure (medium or relatively loose sand /medium and relatively soft consistency clay)

- Well defined wedge and slip surfaces only beneath the foundation
- Slight bulging of the ground surface adjacent to the foundation
- Load settlement curve does not indicate ultimate load clearly





Punching shear failure (very loose sand / very soft clay)

- Poorly defined shear planes
- Soil zones beyond the loaded area being little affected
- Significant penetration of a wedge shaped soil zone beneath the foundation
- Ultimate load can not be clearly recognized



Terzaghi's bearing capacity theory:

The footing is a **long strip or a continuous** footing resting on a deep **homogeneous** soil having **shear parameter c and \phi.**

- Analysis is a 2-D condition
- The soil fails in a general shear failure mode
- The load is vertical and concentric

- The ground surface is horizontal.
- The base of the footing is laid at a shallow depth i.e., $D_f \leq B_{\bullet}$
- The shearing resistance of the soil between the surface and the depth D_f is neglected. The footing is considered as a surface footing with a uniform surcharge equal to γD_f at a level of the footing

Zone – I (zone abd)

- The soil in this zone remains in a state of elastic equilibrium
- The soil wedge **abd** immediately beneath the footing is prevented from undergoing any lateral movement by the friction and adhesion between the base of footing and soil.

Zone II (bed and ae'd) : Zone of radial shear

Zone III (bef and ae'f) : Rankine passive zone



Shallow Foundation : Bearing Capacity III

Terzaghi's bearing capacity theory:

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Zone II (bed and ae'd) : Zone of radial shear

Zone III (bef and ae'f) : Rankine passive zone



The equation developed for the ultimate bearing capacity is

$$q_{u} = cN_{c} + \gamma D_{f}N_{q} + \frac{1}{2}\gamma BN_{\gamma}$$





ф	Terzaghi's Bearing Capacity Factor			
	N _c	N _q	N _Y	
0	5.7	1.0	0.0	
5	7.3	1.6	0.5	
10	9.6	2.7	1.2	
15	12.9	4.4	2.5	
20	17.7	7.4	5	
25	25.1	12.7	9.7	
30	37.2	22.5	19.7	
35	57.8	41.4	42.4	
40	95.7	81.3	100.4	
45	172.3	173.3	297.5	
50	347.5	415.1	1153.2	

<u>Ultimate bearing capacity for local shear failure</u>

Mobilized cohesion: $c_m = \frac{2}{3}c$

Mobilized angle of shearing resistance:

$$\phi = \tan^{-1} \left(\begin{array}{c} 2 \\ \tan \phi \end{array} \right)$$

$$m \quad \left[\begin{array}{c} - \\ 3 \end{array} \right]$$

$$q_{u} = \frac{2}{3} cN'_{c} + \gamma D_{f} N'_{q} + \frac{1}{2} \gamma BN'_{\gamma}$$

For sandy soil (c'=0)

• $\phi \ge 36^{\circ}$ - Purely general shear failure, $\phi \le 29^{\circ}$ - Purely local shear failure ϕ between this range represents the mixed state of general and local shear failure

For c- ϕ soil

- Failure of soil specimen occur at a relatively small strain (less than 5%) General shear failure
- If stress strain curve does not show peak and has a continuously rising pattern upto a strain of 10-20% Local shear failure

<u>Ultimate bearing capacity of strip, square, circular and rectangular footing</u>

$$q_u = \alpha_1 c N_c + \gamma D_f N_q + \alpha_2 \gamma B N_{\gamma}$$

For strip footing : $\alpha_1 = 1.0$, $\alpha_2 = 0.5$ For square footing : $\alpha_1 = 1.3$, $\alpha_2 = 0.4$ For circular footing : $\alpha_1 = 1.3$, $\alpha_2 = 0.3$

For Rectangular Footing:

Ultimate bearing capacity in purely cohesionless soil (c = 0)

$$(q_u = \gamma D_f N_q + \alpha_2 \gamma B N_\gamma)$$

Ultimate bearing capacity in purely cohesive soil ($\phi = 0$)

$$(q_u = \alpha_1 c N_c + \gamma D_f)$$

Effect of water table :

 $q_u = cN_c + qN_q + 0.5\gamma BN_{\gamma}$

For $\phi = 0$ (saturated clay), $q_{nu} = 5.7 c_u$

The effect of submergence is to reduce the undrained shearing strength c_u due to a softening effect. The shear strength parameter should be determined in the laboratory under saturated condition.
Water table located above the base of footing:

The effective surcharge is reduced as the effective weight below the water table is equal to the submerged unit weight .

$$q = D_w \gamma + a \gamma'$$

As, $\mathbf{a} = \mathbf{D}_{\mathbf{f}} - \mathbf{D}_{\mathbf{w}}$ $q = \gamma' D_f + (\gamma - \gamma') D_w$

$$\begin{aligned} q_u &= c_u N_c + \left[\gamma' D_f + (\gamma - \gamma') D_w \right] N_q + \frac{1}{2} \gamma' B N_\gamma \\ \text{If } \mathsf{D}_w &= \mathsf{0} \text{ (i.e., } \mathsf{a} = \mathsf{D}_f \text{)} \quad q_u &= c_u N_c + \gamma' D_f N_q + \frac{1}{2} \gamma' B N_\gamma \\ \text{If } \mathsf{a} &= \mathsf{0} \text{ (i.e., } \mathsf{D}_f = \mathsf{D}_w \text{)} \quad q_u &= c_u N_c + \gamma D_f N_q + \frac{1}{2} \gamma' B N_\gamma \end{aligned}$$



Water table located at a depth b below the base of footing

In this case, the **surcharge term is not affected**. However, the unit weight in the third term of bearing capacity equation is modified as

$$\overline{\gamma} = \gamma' + \frac{b}{B}(\gamma - \gamma')$$

$$q_{u} = c_{u}N_{c} + \gamma D_{f}N_{q} + \frac{1}{2}B\left[\gamma' + \frac{b}{B}(\gamma - \gamma')\right]N_{\gamma}$$



If b = 0, i.e., W/T at the base, $q_u = c_u N_c + \gamma D_f N_q + \frac{1}{2} B \gamma' N_{\gamma}$

If b = B, i.e., W/T at depth below B, $q_u = c_u N_c + \gamma D_f N_q + \frac{1}{2} B \gamma N_{\gamma}$

Shallow Foundation : Bearing Capacity IV

Terzaghi's bearing capacity theory:

The equation developed for the ultimate bearing capacity is

$$q_{u} = cN_{c} + \gamma D_{f}N_{q} + \frac{1}{2}\gamma BN_{\gamma}$$





φ	Terzaghi's Bearing Capacity Factor		
	N _c	N _q	Ν _γ
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20	17.7	7.4	5
25	25.1	12.7	9.7
30	37.2	22.5	19.7
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40	95.7	81.3	100.4
45	172.3	173.3	297.5
50	347.5	415.1	1153.2
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Ranjan and Rao, 1991

Water table located above the base of footing:

The effective surcharge is reduced as the effective weight below the water table is equal to the submerged unit weight .

$$q = D_w \gamma + a \gamma'$$

As, $\mathbf{a} = \mathbf{D}_{\mathbf{f}} - \mathbf{D}_{\mathbf{w}}$ $q = \gamma' D_f + (\gamma - \gamma') D_w$

$$q_{u} = c_{u}N_{c} + \left[\gamma'D_{f} + (\gamma - \gamma')D_{w}\right]N_{q} + \frac{1}{2}\gamma'BN_{\gamma}$$

If $D_{w} = 0$ (i.e., $a = D_{f}$) $q_{u} = c_{u}N_{c} + \gamma'D_{f}N_{q} + \frac{1}{2}\gamma'BN_{\gamma}$
If $a = 0$ (i.e., $D_{f} = D_{w}$) $q_{u} = c_{u}N_{c} + \gamma D_{f}N_{q} + \frac{1}{2}\gamma'BN_{\gamma}$



Water table located at a depth b below the base of footing

In this case, the **surcharge term is not affected**. However, the unit weight in the third term of bearing capacity equation is modified as h

$$\gamma = \gamma' + \frac{\partial}{B}(\gamma - \gamma')$$

If b = 0, i.e., W/T at the base, $q_u = c_u N_d$

If b = B, i.e., W/T at depth below B,

$$a_{\mu} = c_{\mu}N_{c} + \gamma D_{f}N_{q} + \frac{1}{2}B\gamma'N_{q}$$

$$q_{\mu} = c_{\mu}N_{c} + \gamma D_{f}N_{q} + \frac{1}{2}B\gamma N_{q}$$

<u>Ultimate bearing capacity analysis for clay soil (Skempton, 1951):</u>

For
$$\phi = 0$$
, $q_{mu} = c_u N_c$
For strip footing: $N_c = 5\left(1+0.2\frac{D_f}{B}\right)$ The maximum value of N_c is 7.50
For square and circular footing: $N_c = 6\left(1+0.2\frac{D_f}{B}\right)$
The maximum value of N_c is 9

For rectangular footing :

$$N_c = 5.0 \left(1 + 0.2 \frac{D_f}{B} \right) \left(1 + 0.2 \frac{B}{L} \right) \quad \text{For } \mathbf{D_f} / \mathbf{B} \le 2.5$$

$$N_c = 7.5 \left(1 + 0.2 \frac{B}{L} \right)$$
 For **D_f/B >2.5**

The analysis is valid for **any value** of D_f/B

Meyerhof's Analysis :

• Bearing capacity for a strip footing at **any depth**. • For shallow footing, $q_0 = \gamma D_f$

$$q_u = cN_c + q_0N_q + \frac{1}{2}B\gamma N_{\gamma}$$

 N_c , N_q , N_γ depends on roughness of base, depth of footing, and the shape of footing, in addition to the angle of shearing resistance ϕ '

 β increases with an increase in depth D_f and is equal to 90° for deep foundation



Zone I – abd, elastic zone Zone II – bgd, zone of radial shear Zone III – bghm, zone of mixed shear in which shear varies between radial shear and plane shear

$$q_u = cN_c s_c d_c i_c + q_0 N_q s_q d_q i_q + 0.5 \gamma BN_\gamma s_\gamma d_\gamma i_\gamma$$

s, d, and i stand for shape factor, depth factor, inclination factor

$$N_{c} = (N_{q} - 1)\cot(\phi) \qquad N_{q} = e^{\pi \tan(\phi)} \tan^{2}\left(45 + \frac{\phi}{2}\right) \qquad N_{\gamma} = (N_{q} - 1)\tan(1.4\phi)$$

 S_c , S_q , S_{γ} = 1 for strip footing

Shape,	depth,	inclination	factor for	r the Me	verhof's	bearing	capacity	equation:

Factors	Value	For
	$s_{c} = 1 + 0.2K \begin{pmatrix} B \\ p \\ I \end{pmatrix}$	Any φ
Shape	$S = S = 1 + 0.1K \frac{B}{p} \frac{B}{T}$	φ > 10°
	$s_q = s_{\gamma} = 1$	$\phi = 0^{\circ}$
Depth	$d_{c} = 1 + 0.2\sqrt{K_{p}} \left[\frac{D_{f}}{B}\right]$	Any φ
	$\begin{array}{c} d = d = 1 + 0.1 \sqrt{K_{p}} \begin{bmatrix} z_{f} \\ z_{f} \end{bmatrix}$	φ > 10°
	$d_q = d_\gamma = 1$	$\phi = 0^{\circ}$

Bowles, 1997

Shape, depth, inclination factor for the Meyerhof's bearing capacity equation:



α angle of resultant R measured from vertical

Bowles, 1997

ф	N _c	N _q	N _Y
0	5.14	1.0	0.0
5	6.5	1.6	0.07
10	8.3	2.5	0.37
15	11	3.9	1.2
20	14.8	6.4	2.9
25	20.7	10.7	6.8
30	30.1	18.4	16.7
32	35.5	23.2	22.0
34	42.2	29.4	31.1
36	50.6	37.8	44.5
38	61.4	48.9	64.0

φ	N _c	N _q	N _Y
40	75.3	64.1	93.7
45	133.9	134.9	262.8
50	266.9	319.1	874.0

Eccentrically of loaded foundation:



For strip footing: $B' = B - 2e_x$

For rectangular footing: $B'=B-2e_x$ $L'=L-2e_y$

The **effective area** of footing A ' = B' x L'

The ultimate load bearing capacity of footing can be expressed as

$$Q_u = q_u \times A'$$

$$q_u = cN_c s_c d_c i_c + q N_q s_q d_q i_q + 0.5 \gamma BN_\gamma s_\gamma d_\gamma i_\gamma$$



Hansen's bearing capacity Theory:

For **cohesive soil**, Hansen's theory gives better correlation than the Terzaghi equation

$$q_u = cN_c s_c d_c i_c + qN_q s_q d_q i_q + 0.5 \gamma BN_\gamma s_\gamma d_\gamma i_\gamma$$

For
$$\phi = 0$$
 $q_u = cN_c (1 + s_c + d_c - i_c) + q$

$$N_{c} = (N_{q} - 1) \cot(\phi) \qquad \text{Same as Meyerhof}$$
$$N_{q} = e^{\pi \tan(\phi)} \tan^{2} \left(45 + \frac{\phi}{2} \right) \text{Same as Meyerhof}$$
$$N_{\gamma} = 1.5(N_{q} - 1) \tan(\phi)$$

φ	N _Y
0	0
5	0.1
10	0.4
15	1.2
20	2.9
25	6.8
30	15.1
32	20.8
34	28.8
36	40.1
38	56.2

φ	N _Y
40	79.5
45	200.8
50	568.5

Hansen's bearing capacity factors

	Factors	Value		
		$s_{c} = 1 + \frac{N_{q}}{N_{c}} \begin{pmatrix} B \\ L \end{pmatrix} \text{ for } \phi \neq 0$		
Shape		$s_c = 0.2 \frac{B}{L} \text{ for } \phi = 0$		
		$s = 1 + \sin(\phi) \left(\frac{B}{L} \right)$		
		$s_{\gamma} = (1 - 0.4 \frac{D}{L}) \ge 0.6$		
		$d = 1 + 0.4k$ $k = \frac{D_f}{E}$ For D /B ≤ 1 and $k = \tan^{-1}(D/B)$ For D /B ≥ 1 ,		
	Depth	B B k in radian		
		$d = 1 + 2(\tan \phi)(1 - \sin \phi)^2 / \frac{D_f}{m}$		
		q $\left(\begin{array}{c}B\end{array}\right)$		
Bowles, 19	7	$d_{\gamma} = 1$ For all ϕ		

Shape, depth, inclination factor for the Hansen's bearing capacity equation:



H = horizontal component of inclined load, V = vertical component of inclined load

c_a= base adhesion, 0.6 to 1 X Base cohesion

Bowles, 1997

Shallow Foundation : Bearing Capacity V

Vesic's bearing capacity theory:

The bearing capacity equation is similar in form to Hansen's equation

$$N_{c} = (N_{q} - 1)\cot(\phi)$$
 Same as Meyerhof

$$N_{q} = e^{\pi \tan(\phi)} \tan^{2}\left(45 + \frac{\phi}{2}\right)$$
 Same as Meyerhof

$$N_{\gamma} = 2(N_{q} + 1)\tan(\phi)$$

ф	Ν _γ
0	0
5	0.4
10	1.2
15	2.6
20	5.4
25	10.9
30	22.4
32	30.2
34	41
36	56.2
38	77.9

φ	N _Y
40	109.4
45	271.3
50	762.84

Vesic's bearing capacity factors

Factors	Value			
	$s_{c} = 1 + \frac{N_{q}}{N_{c}} \begin{pmatrix} B \\ \mathcal{L} \end{pmatrix}$			
Shape	$s_c = 1$ for strip footing			
	$s = 1 + \tan(\phi)^{\left[\begin{array}{c} B \end{array} \right]}$			
	q I I For all ϕ			
	$s = 1 - 0.4 - 1 \ge 0.6$			
	$d = 1 + 0.4k$ $k = \frac{D_f}{D_f}$ For D /B ≤ 1 and $k = \tan^{-1}(D/B)$ For D /B >1,			
Depth	a B f k in radian			
	$d_q = 1 + 2(\tan\phi)(1 - \sin\phi)_2 k$			
	d . • 1 For all ϕ			

Shape, depth, inclination factor for the Vesic's bearing capacity equation:

Bowles, 1997



Bowles, 1997

IS code method (6403 -1981)

$$q_{nu} = cN_c s_c d_c i_c + q(N_q - 1)s_q d_q i_q + 0.5 \gamma BN_\gamma s_\gamma d_\gamma i_\gamma W'$$

 N_c , N_q , N_γ , are the same as those given by Vesic

W' - factor for water table

W' = 1, when water table is at or **below a depth of (D_f + B)** measured from the GL

W' = 0.5, when water table is located at a depth D_f or likely to rise to the

base of footing or above

W' can be linearly interpolated when $D_f < D_w < D_f + B$

q = effective pressure at base

Shape Factor:

S _c	$ \begin{bmatrix} 1+0.2 \\ L \end{bmatrix} $	Rectangular footing
	1.3	Square and Circular
S _q	$\begin{pmatrix} 1+0.2 \\ L \end{pmatrix}$	Rectangular footing
	1.2	Square and Circular
	$ \begin{bmatrix} 1 - 0.4 \frac{B}{L} \\ L \end{bmatrix} $	Rectangular footing
Sγ	0.8	Square
	0.6	Circular

Depth Factor:

d _c	$\frac{1+0.2\frac{D_f}{B}\tan\left(45^{1}+\frac{\phi}{2}\right)}{2}$	For any φ
d _q	$1+0.1\frac{D_f}{B}\tan\left(45^{1}+\frac{\phi}{2}\right)$	φ > 10°
	1	φ <10°
d _y	$\frac{1+0.1\frac{D_f}{B}\tan\left(45^{1}+\frac{\phi}{2}\right)}{B}$	φ > 10 °
	1	φ < 10°

Inclination Factor:



Bearing capacity of granular soils based on SPT (Standard Penetration Test)

Teng (1962)

$$q = \frac{1}{3N^{2}BR' + 5(100 + N^{2})DR}$$
For strip footing
$$q = \frac{1}{4}\left[N^{2}BR' + 3(100 + N^{2})DR\right]$$
For square and circular footing
$$q = \frac{1}{4}\left[N^{2}BR' + 3(100 + N^{2})DR\right]$$
For square and circular footing

 q_{nu} = net ultimate bearing capacity in kN/m^2 N = average N value corrected for overburden pressure D_f = depth of footing in m; if $D_f > B$ take $D_f = B$



Ranjan and Rao, 1991

 D_w = depth of water table below the ground surface limited to the depth equal to D_f D'_w = depth of water table measured from base level of the footing with a limiting value equal to the width of footing B

Bearing capacity of footings on layered soils:

$$c_{avg} = \frac{c_1 H_1 + c_2 H_2 + \Box + c_n H_n}{\Sigma H_i} \qquad \qquad \phi_{avg} = \tan^{-1} \left(\frac{H_1 \tan \phi_1 + H_2 \tan \phi_2 + \dots + H_n \tan \phi_n}{\Sigma H_i} \right)$$

Factors influencing bearing capacity :

i) For $c_u \equiv 0$

$$q_u = qN_q + 0.5\gamma BN_{\gamma}$$

a) Relative density or $\boldsymbol{\varphi}$

- b) Width of the footing
- c) Depth of the footing
- d) Unit weight of the soil
- e) Position of ground water

ii) For $\phi = 0$

$$q_u = c_u N_c + q$$

a) The bearing capacity of footing on a cohesive soil is unaffected by the width of footing

b) The **net ultimate bearing capacity** $(q_{nu} = N_c c_u)$ is not affected by the **depth of foundation**.

c) For $\phi = 0$, N_c = 5.14 (smooth base) and 5.7 (rough base)

Ex.1: A rectangular footing of size 3m X 6m is founded at a depth of 1m in a homogeneous sandy soil. The water table is at a great depth. The unit wt of soil 18 kN/m³. Determine net ultimate bearing capacity c= 0 and $\phi = 40^{\circ}$

Using Terzaghi's theory

$$q_{nu} = q_u - \gamma D_f = \gamma D_f (N_q - 1) + \frac{1}{2} \gamma B N_{\gamma} \left(1 - 0.2 \frac{B}{L} \right)$$

From table $N_q = 81.3$, $N_\gamma = 100.4$ for $\phi = 40^{\circ}$ B = 3m and L = 6m

$$q = 18 \times 1 \times (81.3 - 1) + \frac{1}{2} \times 18 \times 3 \times 100.4 \times (1 - 0.2 \times 3) = 3885.12 kN / m^{2}$$
Using Meyerhof's theory

From table $N_q = 64.1$, $N_v = 93.7$ for $\phi = 40^{\circ}$

 $q_{_{nu}} = 18 \times 1 \times 64.1 \times 1.23 \times 1.07 + 0.5 \times 18 \times 3 \times 93.7 \times 1.23 \times 1.07 - 18 \times 1 = 4830.11 kN / m^2$

Using Hansen's theory

$$q_{nu} = q_{ult} - \gamma D_f = \gamma D_f N_q s_q d_q + 0.5 \gamma B N_\gamma s_\gamma d_\gamma - \gamma D_f$$

$$s = 1 + \sin(\phi) \begin{pmatrix} B \\ -L \end{pmatrix} = 1.32 \qquad s = (1 - \frac{B}{0.4 - 1}) = 0.8$$

$$q = 1 + 2(\tan \phi)(1 - \sin \phi) \begin{pmatrix} 2 \\ -L \end{pmatrix} = 1.07 \qquad d_{\gamma} = 1$$

From table $N_q = 64.1$, $N_\gamma = 79.5$ for $\phi = 40^{\circ}$

 $q_{nu} = 18 \times 1 \times 64.1 \times 1.32 \times 1.07 + 0.5 \times 18 \times 3 \times 79.5 \times 0.8 \times 1 - 18 \times 1 = 3328.82 kN / m^2$

Using Vesic's theory

$$q_{nu} = q_{ult} - \gamma D_f = \gamma D_f N_q s_q d_q + 0.5 \gamma B N_\gamma s_\gamma d_\gamma - \gamma D_f$$

$$s = 1 + \tan(\phi) \begin{pmatrix} B \\ - \\ L \end{pmatrix} = 1.41 \qquad s = (1 - \frac{B}{0.4 - 1}) = 0.8$$

$$q = 1 + 2(\tan \phi)(1 - \sin \phi) \begin{pmatrix} D_f \\ - \\ B \end{pmatrix} = 1.07 \qquad d_{\gamma} = 1$$

From table $N_q = 64.1$, $N_\gamma = 109.4$ for $\phi = 40^{\circ}$

 $q_{nu} = 18 \times 1 \times 64.1 \times 1.41 \times 1.07 + 0.5 \times 18 \times 3 \times 109.4 \times 0.8 \times 1 - 18 \times 1 = 4085.77 kN / m^2$

Using IS Code Method

$$q_{nu} = \gamma D_f (N_q - 1) s_q d_q + 0.5 \gamma B N_\gamma s_\gamma d_\gamma$$

$$s_q = 1 + 0.2 \binom{B}{-L} = 1.10 \qquad s_q = (1 - \frac{B}{0.4 - L}) = 0.8$$

$$d_q = 1 + 0.1 (\frac{D_f}{B}) \tan(45 + \frac{\phi}{2}) = 1.07 \qquad d_\gamma = 1$$

$$N_q = 64.1, N_\gamma = 109.4 \text{ for } \phi = 40^\circ \text{ (same as Vesic)}$$

 $q_{nu} = 18 \times 1 \times (64.1 - 1) \times 1.10 \times 1.07 + 0.5 \times 18 \times 3 \times 109.4 \times 0.8 \times 1 = 3699.87 kN / m^2$

Author	q _{nu} kN/m²		
Terzaghi	3885.12		
Meyerhof	4830.11		
Hansen	3328.82		
Vesic	4085.77		
Is code	3699.87		

Meyerhof 's method gives higher value of q_{nu} than all other methods

Ex.2: A rectangular footing of size 3m X 6m is founded at a depth of 1m in a homogeneous sandy soil. The water table is at a great depth. The unit wt of soil 18 kN/m³. Determine net ultimate bearing capacity. c= 0 and $\phi = 22^{\circ}$.

Using Terzaghi's theory

$$q_{nu} = q_{u} - \gamma D_{f} = \gamma D_{f} (N'_{q} - 1) + \frac{1}{2} \gamma B N'_{\gamma} \left(1 - 0.2 \frac{B}{L}\right)$$

 $\phi' = \tan^{-1} 0.67(\tan(22^0)) = 15^0$

From table
$$N_q = 4.4, N_{\gamma} = 2.5$$
 for $\phi' = 15^{\circ}$ (local shear failure)
 $B = 3m \text{ and } L = 6m$
 $q = 18 \times 1 \times (4.4 - 1) + \frac{1}{\times} 18 \times 3 \times 2.5 \times (1 - 0.2^{-3}) = 121.95 kN / m^2$
 nu
 2
 nu
 d
 d
 d
 d
 d
 d

	ф	Terzaghi's Bearing Capacity Factor			
		N _c	N _q	Ν _γ	
	0	5.7	1.0	0.0	
	5	7.3	1.6	0.5	
	10	9.6	2.7	1.2	
	15	12.9	4.4	2.5	
	20	17.7	7.4	5	
	25	25.1	12.7	9.7	
	30	37.2	22.5	19.7	
	35	57.8	41.4	42.4	
	40	95.7	81.3	100.4	
	45	172.3	173.3	297.5	
	, 1991 ⁵⁰	347.5	415.1	1153.2	
an					

Ranjan and Rao

Ex.3: A rectangular footing of size 3m X 6m is founded at a depth of 1m in a homogeneous sandy soil. The water table is at a great depth. The unit wt of soil 18 kN/m ³. c= 0 and $\phi = 35^{\circ}$. Determine net ultimate bearing capacity.

$$N_q = 41.4, N'_q = 12.7$$
 for $\phi_m = 25^\circ$. Hence a ctual,
 $N_q = 12.7 + (41.4 - 12.7) \times \begin{pmatrix} 35 - 29 \\ 36 - 29 \end{pmatrix} = 37.3$

 $N_v = 42.4, N'_v = 9.7$. Hence actual,

$$\mathcal{N}_{\gamma} = 9.7 + (42.4 - 9.7) \times \left(\begin{array}{c} 35 - 29\\ 36 - 29 \end{array} \right) = 37.72$$

$$q_{nu} = q_{u} - \gamma D_{f} = \gamma D_{f} (\overline{N}_{q} - 1) + \frac{1}{2} \gamma B \overline{N}_{\gamma} \left(1 - 0.2 \frac{B}{L} \right)$$

$$q_{nu} = 18 \times 1 \times (37.3 - 1) + \frac{1}{2} \times 18 \times 3 \times 37.72 \times (1 - 0.2 \times \frac{3}{6}) = 1569.99 kN / m^{2}$$

Ex.4: A rectangular footing of size 3m X 6m is founded at a depth of 1m in a homogeneous c- ϕ soil. The water table is at a great depth. The unit wt of soil 18 kN/m³. Determine net ultimate bearing capacity. c= 50 kPa and $\phi = 20^{\circ}$.

$$q_{nu} = q_{nu} - \gamma D_{f} = c N_{c} \left(1 + 0.3 \frac{B}{L} \right) + \gamma D_{f} (N_{q} - 1) + \frac{1}{2} \gamma B N_{\gamma} \left(1 - 0.2 \frac{B}{L} \right)$$

From table N_c = 17.7, N_q = 7.4, N_y = 5 for ϕ = 20° B = 3m and L = 6m

$$q_{nu} = 50 \times 17.7 \times \left(1 + 0.3 \times \frac{3}{6}\right) + 18 \times 1 \times (7.4 - 1) + \frac{1}{2} \times 18 \times 3 \times 5 \times \left(1 - 0.2 \times \frac{3}{6}\right) = 1254.45 \text{ kN} / m^2$$

Shallow Foundation : Settlement-I

$$q_u = c_u N_c + \left[\gamma' D_f + (\gamma - \gamma') D_w \right] N_q + \frac{1}{2} \gamma' B N_{\gamma}$$

Ex.:





Types of Settlement found in shallow foundation







Settlement of shallow foundation

Total Settlement

$$S_t = S_i + S_c + S_s$$

S_i= Immediate or elastic settlement (<7 days). It takes place during the application of loading.
 In clays, the settlement is due to the change in the shape of the soil without a change in volume or water content. It is neglected as compared to long term settlement.

 S_c = Primary consolidation settlement. It is due to the consolidation.

 S_s = Secondary Compression Settlement. It occurs because of volume change occurring due to rearrangement of soil particles.

- Immediate settlement is not time dependant settlement.
- Primary consolidation and secondary settlement are timedependant.
- For granular soils, immediate settlement is the entire settlement.
- In inorganic clays, Primary consolidation accounts major part of the settlement.
- In organic clays, secondary compression accounts major part of the settlement .



1. Immediate or elastic settlement

$$S_{i} = qB \begin{pmatrix} 1 - \mu^{2} \\ \Box \\ I_{f} \\ E \end{pmatrix}$$

where q = Net foundation pressure

- μ = Poisson's ratio
- E= Elastic Modulus of soil
- $I_f = Influence factor$

Types of corrections: 1. Depth correction 2. Rigidity correction for raft foundation

	lf fc	If for FlexibleFoundation			
Shape	Centre	Corner	Average		
Circle	1.0	0.64	0.85	0.86	•
Square	1.12	0.56	0.95	0.82	
Rectangle					
L/B= 1.5	1.36	0.68	1.2	1.06	
L/B= 2	1.52	0.76	1.3	1.2	
L/B= 5	2.10	1.05	1.83	1.70	
L/B= 10	2.52	1.26	2.25	2.10	
L/B= 100	3.38	1.69	2.96	3.40 Ranjan	and Rao, 19

Types of soil	μ	
1. Clay , saturated	0.4-0.5	
2. Clay, unsaturated	0.1-0.3	
3. Sandy clay	0.2-0.3	
4. Silt	0.3-0.35	
5. Sand(dense)		
5.1 Coarse(e=0.4-0.7)	0.15	
5.2 Finegrained	0.25	
6. Rock	0.1-0.4	

Ranjan and Rao, 1991

Young's Modulus Calculation

Type of soil	SPT (N) or CPT(q _c)		
Sand (NC)	E= 500(N+15)		
Sand (OC)	E= 250(N+15)		
Sand(Saturated)	E= 250(N+15)		
Gravely Sand	E= 1200(N+6)		
Clayey sand	E= 320(N+15)		
Silty sand	E= 300(N+6)		
Soft clay	$E= 5 \text{ to } 8 \text{ q}_c$		
	* E is in kN/m2.		

Ranjan and

Elastic Modulus Calculation

- Normally consolidate clay, $E_u = (750 \text{ to } 1200) S_u$
- Heavily overconsolidated clay, $E_u = (1500 \text{ to } 2000) S_u$
- Normally consolidated sensitive clay, $E_u = (200 \text{ to } 600)S_u$

Elastic Modulus Calculation

Soil type	E kg/ cm²)	Soil type	E (kg/ cm ²)	Soil type	E (kg/ cm²)
Clay		Sand			
1. Very soft	20-150	Sand		Sand and	
2. soft	50-250	1. silty	70-210	914761	
3. medium	150-500	2. loose	100-240	1. Loose	500-1450
4. Hard	500-1000			2 Donco	1000 1000
5. Sandy	250-2500	3.dense	480-800		1000-1900

Ranjan and Rao, 1991

2. Consolidation settlement

Consolidation settlement

$$S_{c} = \sum_{n=1}^{\infty} \frac{C_{c}}{1+e_{0}} H \log_{10} \left(\frac{p_{0} + \Delta p}{p_{0}} \right)$$

or
$$S_c = \sum m_v H_0 \Delta p$$

Where p_0 = initial effective overburden pressure before applying foundation load

 Δp = vertical stress at the centre due to application of load

 C_c = Compression index

 e_0 = initial void ratio

 m_v = coefficient of volume compressibility

Types of corrections: 1. Depth correction

- 2. Rigidity correction for raft foundation
- 3. Pore water pressure correction

Corrections

1. Corrections for the effect of 3-D consolidation

 $S_{c(3D)} = \eta S_{c(1D)}$

where η = correction factor. In absence of data regarding pore water pressure parameter

A, following values can be taken:

 η = 1-1.2 very sensitive clay

=0.7-1.0 Normally consolidated clay

=0.5-0.7 Over consolidated clay

=0.3-0.5 Heavily over consolidated clay



.

IS :8009 (Part I) -1976

Shallow Foundation : Settlement-II

2. Consolidation settlement

$$S_{c} = \sum_{n=1}^{\infty} \frac{C_{c}}{1+e_{0}} H \log_{10} \left(\frac{p_{0} + \Delta p}{p_{0}} \right)$$

or $S_{c} = \sum_{n} m_{v} H_{0} \Delta p$

where p_0 = initial effective overburden pressure before applying foundation load

 Δp = vertical stress at the centre due to application of load

 C_c = Compression index

 e_0 = initial void ratio

 m_v = coefficient of volume compressibility

Types of corrections: 1. Depth correction

- 2. Rigidity correction for raft foundation
- 3. Pore water pressure correction

Corrections

1. Corrections for the effect of 3-D consolidation

 $S_{c(3D)} = \eta S_{c(1D)}$

where $\mu =$ correction factor. In absence of data regarding pore water pressure parameter

A, following values can be taken:

 η = 1-1.2 very sensitive clay

=0.7-1.0 Normally consolidated clay

=0.5-0.7 Over consolidated clay

=0.3-0.5 Heavily over consolidated clay



2. Corrections for the rigidity of foundation

 $Rigidity \ factor = \frac{Total \ settlement \ of \ rigid \ foundation}{Total \ settlement \ at \ centreof \ flexible \ foundation}$

Correction factor= 0.8 for rigid foundation

3. Corrections for the depth of the embedment

$$Depth factor = \frac{S_{embedded}}{S_{surface}}$$

Fox's correction for settlement of flexible rectangular footing of LxBatadepthD



IS: 8009 (Part I) - 1976

FIG. 12 FOX'S CORRECTION CURVES FOR SETTLEMENTS OF FLEXIBLE RECTANGULAR FOOTINGS OF $L \times B$ AT DEPTH D 22



Settlement of Foundations on Granular Soils

- Due to consolidation, short term field tests are not suitable to determine the settlement of cohesive soil.
- a) Plate load test method (IS-1888-1982)





Procedure

- Rough mild steel plates of size 30cm, 45 cm, 60cm, or 75 cm, square or circular in shape are generally used.
 - > 5mm (maximum thickness) fine sand is placed before placing the plate.
 - Smaller sizes are used for dense or stiff soil.
 - Iarger size are used for loose or soft soil.
 - > Water is removed by pumping out.
- Loads on the test plate may be applied by gravity loading or reaction loading.
- Seating load of 70kg/cm² is first applied and released after sometimes.

- Load is applied at 1/5th the estimated safe load up to failure or at least 25mm settlement, whichever is earlier.
- At each load, settlement is recorded at time intervals of 1, 2.25, 4, 6.25, 9, 16 and 25 mins and thereafter at hourly interval.
 - For clayey soils, the load is increased when the time-settlement curve indicates that settlement has exceeded 70-80 % of the probable ultimate settlement or at the end of 24 hours.
 - For other soils, the load is increased when the rate of settlement drops to a value less than 0.02 mm/min.

IS:1888-1982
- Settlement are recorded through a minimum of two dial gauges mounted on independent datum and resting diametrically opposite ends of the plates.
- The load settlement curve for the test plate can be plotted from the test data.



Settlement Calculation from plate load test

<u>Terzaghi and Peck(1948)</u>:

$$\frac{S_f}{S_p} = \left[\frac{B_f \left(B_p + 30\right)}{B_p \left(B_f + 30\right)}\right]^2$$

(For granular soil)

Where S_f = settlement of a foundation of width $B_f(cm)$

 S_p = settlement of a foundation of width B_p (cm)at the same load intensity as on the foundation

Bjerrum and Eggestad(1963):

$$\frac{S_f}{S_p} = \frac{4}{\left(1 + \frac{D_p}{D_f}\right)^2}$$

where D_p = diameter of plate D_f = diameter of footing

Important Considerations

- Plate size smaller than 30 cm should never be used in any case.
- It may lead to misleading results, if the soil at site is not homogenous.
- Capillarity in sand bed increases its effective vertical stress or its stiffness. The test will result in a severe underestimate of actual settlement.
- For clayey soil, immediate settlement is not the main settlement. However, plate load test gives the immediate test.

$$\frac{S_f}{S_p} = \frac{B_f}{B_p}$$

Ultimate Bearing capacity Calculation from plate load test

• For cohesionless soil

$$\frac{q_{uf}}{q_{up}} = \frac{B_f}{B_p}$$

For cohesive soil

$$q_{\it uf}=q_{\it up}$$

Where, q_{uf} = ultimate bearing capacity of footing q_{up} = ultimate bearing capacity of plate

Safe Bearing capacity Calculation from plate load test

- The safe bearing capacity of a footing can be determined from the load-settlement curve of the test plate.
- If the permissible settlement of foundation of width $B_f is S_p$, corresponding settlement S_p of test plate B_p can be found from equation given earlier. Then the load intensity corresponding to S_p is read from load settlement curve and taken as safe bearing capacity of foundation.

Safe Bearing capacity Calculation from plate load test

 If the load test is carried out above the natural water table, the settlement computed from the curve will have to be corrected if there is a likelihood of rise in water table in future.



 D_w = depth of water table below the ground level D_f = depth of foundation B= width offoundation

 D'_{w} = depth of water table from base of footing

Shallow Foundation : Settlement-III

Example (a) :The following data was obtained from a plate load test conducted on 60 cm square test plateatadepth of 2mbelow the ground level on as and y soil which extends up to large depth.

 $Determine the settlement of a foundation 4m x 4m carrying a load of 1200 kN placed at a depth of 2\ m\ below$ ground surface on the same soil.

(b) What will be the actual settlement if water table is raised at the base of the footing. Load test data:

Load intensity (kN/m ²)	Settlement (mm)
50	2.5
100	5.0
150	8.0
200	11.5
250	16.5
300	24.0
350	35.0
400	46.0



Example (a): Using the same plate load test data determine the allowable bearing capacity of a foundation 3mx3mplaced at a depth of 2m below ground surface on the same soil. Permissible settlement of the foundation is 50mm and factor of safety against bearing is 2.5. Unit weight of the soil is 19kN/m³.

(b) What will be the allowable bearing capacity if water table is raised at the base of the footing.



ф	Terzaghi's Bearing Capacity Factor			
	N _c	Nq	Ν _γ	
30	37.2	22.5	19.7	
35	57.8	41.4	42.4	
40	95.7	81.3	100.4	
45	172.3	173.3	297.5	

Ranjan and Rao, 1991

Shallow Foundation : Settlement-IV





(b) Method based on SPT (IS 8009-Part 1-1976)

(c) Method based on SCPT

 De Beer and Martens (1957) used the static cone penetration resistance diagram to predict the settlement of a structure on sands



The relationships suggested are:

$$C = 1.5 \left(\frac{q_c}{\sigma_0}\right)$$

The settlement for each layer is given by :

 $S = 2.3 \frac{H}{C} \log \left(\frac{\overline{\sigma}_0 + \Delta \sigma}{\overline{\epsilon}_0} \right)$

where H= thickness of layer $\Delta \sigma$ = increase in vertical stress at middle of the layer

Meyerhof(1965)

$$C = 1.9 \left(\begin{array}{c} q_{\rm b} \\ \hline \\ \sigma_0 \end{array} \right)$$



Depth below Ground level

----- Average cone resistance in each layer

(d) Semi-empirical Method (Buisman, 1948)

$$S = \sum 2.3 \frac{\overline{\sigma}_0}{E} H \log \left(\frac{\overline{\sigma}_0 + \Delta \sigma}{\overline{\epsilon}_0} \right)$$

where H= thickness of layer

 $\Delta \sigma$ = increase in vertical stress at middle of the layer

E = Elastic Modulus of each soil layer

Settlement Calculation

Immediate Settlement (for clay)

 $(1-\mu^{2})$ $S_{i} = qB| \square |I_{f}$ E | $S_{c} = \sum_{1+e}^{0} H \log_{10}|$ P $S_{c} = \sum_{1+e}^{0} M \log_{10}|$ $S_{c} = \sum_{1+e}^{0} M \log_{10$

Consolidation Settlement (for clay)

Settlement (granular soil or sand) (all Immediate Settlement)

(a) Plate load test method (IS-1888-1982) De Beer and Martens (1957) Meyerhof (1965) (b) Method based on SPT (IS 8009-Part 1-1976) (c) Method based on SCPT $S = 2.3 \frac{H}{C} \log \left(\frac{\overline{\sigma}_0 + \Delta \sigma}{\overline{c}_0} \right)$ where $C = 1.5 \left(\frac{q_c}{\sigma_0} \right)$ or $C = 1.9 \left(\frac{q_c}{\sigma_0} \right)$ (d) Semi-empirical Method (Buisman, 1948) $S = \sum 2.3 \frac{-0}{E} H \log \left(\frac{\overline{\sigma}_0 + \Delta \sigma}{\overline{\sigma}_0} \right)$ **Shallow Foundation : Settlement-V**

Settlement Calculation

Immediate Settlement (for clay) $S_i = qB\left(\begin{array}{c} \Box \\ Ef \end{array} \right)$

Consolidation Settlement (for clay)

$$S_{c} = \sum_{1+e_{0}}^{C_{c}} H \log_{10} \left(\frac{p_{0} + \Delta p}{p^{0}} \right)$$
or $S_{c} = \sum_{n}^{C_{c}} m_{v} H_{0} \Delta p$

Settlement (granular soil or sand) (all Immediate Settlement)

