

Module - II

Discrete Mathematics

4th Semester

Computer Science

# RECURRENCE RELATION

Defn A recurrence relation of the sequence  $\{a_n\}$  is an equation that expresses  $a_n$  in terms of one or more of the previous terms of the sequence, namely,  $a_0, a_1, a_2, \dots, a_{n-1}$  & integer  $n$ .

- A recurrence relation are also called differential equation, with  $n \geq n_0$  where  $n_0$ : non-ve integer

Ex  $a_{n+1} = a_n + a_{n-1}$  initial condition  $a_1 = 2, a_2 = 3,$   
 $a_n = a_{n-1} + a_{n-2}$   $a_0 = 0, a_1 = 1$

## Solution of Recurrence Relation

A sequence is called a sol<sup>n</sup> of a recurrence relation if its terms satisfy the recurrence relation.

Ex Let  $\{a_n\}$  be a sequence that satisfies that recurrence relation

$$a_n = a_{n-1} - a_{n-2} \text{ for } n = 2, 3, 4, \dots$$

and suppose that  $a_0 = 3$ , and  $a_1 = 5$  what are  $a_2$  and  $a_3$ .

Sol<sup>n</sup> Given that  $a_n = a_{n-1} - a_{n-2}$

putting  $n = 2, 3, \dots$

Here  $a_0 = 3$

$a_1 = 5$

$$S_1, a_2 = a_1 - a_0 = 5 - 3 = 2$$

$$a_3 = a_2 - a_1 = 2 - 5 = -3$$

### Linear Recurrence Relation with

### constant coefficients (LRR with Constant Coefficients)

A recurrence relation is of the form

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n)$$

where  $c_1, c_2, \dots, c_k$  are real numbers and  $c_k \neq 0$ .

(1)

is called linear R.R w. const. coefficients.

The recurrence relation in eqn (1) is known as  $n^{\text{th}}$  degree recurrence relation, provided  $C_k \neq 0$ .

Ex- 2nd degree R.R

$$a_n = C_1 a_{n-1} + C_2 a_{n-2} + f(n)$$

3rd degree R.R

$$a_n = C_1 a_{n-1} + C_2 a_{n-2} + C_3 a_{n-3} + f(n)$$

Linear R.R. w. const. coefficients

Linear Homogeneous R.R

If  $f(n) = 0$  in eqn (1)

is  $a_n = C_1 a_{n-1} + C_2 a_{n-2} + \dots + C_k a_{n-k}$ .

Linear Non Homogeneous R.R

$f(n) \neq 0$  in eqn (1).

$$a_n = C_1 a_{n-1} + \dots + C_k a_{n-k} + f(n)$$

where  $f(n)$  is polynomial or constant.

Ex-  $f(n) = n^k, 2^n, n^k + n + 1, n^3, 2^n, 3^n, 7^n, \dots, 5, 7, 1, 2^n(n+1), n \cdot 3^n, n!, 3^n + (n+1) \dots$  etc.

Ex-  $P_n = (1 \cdot 11) P_{n-1}$  Linear H.R.R.

degree = 1

$$f_n = f_{n-1} + f_{n-2}$$

Linear H.R.R, degree = 2

$$a_n = a_{n-5}$$

" " = 5

$$a_n = n a_{n-1}$$

not linear (∵ does not have

constant coefficients)

$$a_n = a_{n-1} + a_{n-2} \quad (\text{not linear H.R.R.})$$

$$a_n = 2 a_{n-1} + 1$$

(not linear H.R.R.)

$$a_n = a_{n-1} + 2^n$$

(Non Homogeneous R.R)

$$a_n = a_{n-1} + a_{n-2} + n^n + n + 1$$

$$a_n = 3 a_{n-1} + n 3^n$$

$$a_n = a_{n-1} + a_{n-2} + a_{n-3} + n!$$

$$a_n = 2 a_{n-1} + 2^n (n+1)$$

Linear Non Homogeneous R.R

# Solving Linear Homogeneous R.R with constant coefficients

Suppose, the 2nd degree linear Homogeneous

R.R is

$$a_n = C_1 a_{n-1} + C_2 a_{n-2}$$

$$\text{or, } a_n - C_1 a_{n-1} - C_2 a_{n-2}$$

$\therefore$  The characteristic eqn is

$$x^2 - C_1 x - C_2 = 0$$

This soln of this eqn are called characteristic root.

Case I If the roots are real and unequal.

Say,  $r_1 \neq r_2$

$\therefore$  The soln of Recurrence relation (general soln)

$$\text{is } a_n = d_1 \cdot r_1^n + d_2 \cdot r_2^n$$

For  $n=0, 1, 2, \dots$

where  $d_1, d_2$  are constants.

Similarly suppose degree 3:

and eqns having 3 roots,  $r_1 \neq r_2 \neq r_3$

Then soln is  $a_n = d_1 \cdot r_1^n + d_2 \cdot r_2^n + d_3 \cdot r_3^n$

Suppose  $p$  roots,

Then soln is  $a_n = d_1 \cdot r_1^n + d_2 \cdot r_2^n + \dots$

Case II If the roots are real and equal.

Say,  $r_1 = r_2 = r$

$\therefore$  The soln of R.R is  $a_n = (d_1 + n d_2) r^n$

For  $n=0, 1, 2, \dots$

and  $d_1, d_2$  are constants.

Similarly, suppose 3rd degree Linear Homogeneous R.R.

and the roots are  $\alpha_1 = \alpha_2 = \alpha_3 = \alpha$ .

Then the soln is  $a_n = (d_1 + n d_2 + n^2 d_3) \alpha^n$

For  $n = 0, 1, 2, \dots$

where  $d_1, d_2, d_3$  are constants.

Case III If the roots are complex numbers.

Say,  $\alpha = \alpha + i\beta$

Then the soln is

$$a_n = (d_1 \cos n\theta + d_2 \sin n\theta) R^n$$

where

$$R = \sqrt{\alpha^2 + \beta^2} \text{ and } \theta = \tan^{-1}\left(\frac{\beta}{\alpha}\right)$$

Ex-2 Solve the Recurrence Relation

$$a_n = a_{n-1} + 2a_{n-2}$$

Soln Given Recurrence Relation with  $a_0 = 2$ , and  $a_1 = 7$ ?

$$a_n = a_{n-1} + 2a_{n-2}$$

This is 2nd degree R.R. and  $a_0 = 2, a_1 = 7$ .

$\therefore$  The characteristic eqn is

$$r^2 - r - 2 = 0$$

$$\Rightarrow r^2 - 2r + r - 2 = 0$$

$$\Rightarrow r(r-2) + 1(r-2) = 0 \Rightarrow (r-2)(r+1) = 0$$

$$\Rightarrow r = 2, -1$$

$\therefore$  The soln of R.R is

$$a_n = d_1 \cdot 2^n + d_2 \cdot (-1)^n$$

but initial condition

$$a_0 = 2, \text{ for } n=0,$$

where  $d_1, d_2$  are constants.

$$a_0 = d_1 + d_2$$

$$2 = d_1 + d_2 \quad \text{--- (2)}$$

$$n=1, \quad a_1 = d_1 \cdot 2 + d_2 \cdot (-1)$$

$$7 = 2d_1 - d_2 \quad \text{--- (3)}$$

Solving eqn (2) & (3) we get

$$\begin{array}{r} d_1 + d_2 = 2 \\ 2d_1 - d_2 = 7 \\ \hline 3d_1 = 9 \end{array}$$

$$d_1 = 3$$

$$d_1 + d_2 = 2$$

$$\Rightarrow d_2 = 2 - 3 = -1 \Rightarrow d_2 = -1$$

\(\therefore\) Hence The Soln of Recurrence Relation is

$$a_n = 3 \cdot 2^n + (-1) \cdot (-1)^n$$

Ex-2 Solve  $a_n = -3a_{n-1} - 3a_{n-2} - a_{n-3}$

with  $a_0 = 1$

$a_1 = -2, a_2 = -1$

Soln Given R.R is

$$a_n = -3a_{n-1} - 3a_{n-2} - a_{n-3}$$

$$\Rightarrow a_n + 3a_{n-1} + 3a_{n-2} + a_{n-3} = 0$$

\(\therefore\) The characteristic eqn is

$$x^3 + 3x^2 + 3x + 1 = 0$$

$$\Rightarrow (x+1)^3 = 0$$

$$\Rightarrow x = -1, -1, -1$$

\(\therefore\) The Soln of R.R is

$$a_n = (d_1 + nd_2 + n^2d_3) \cdot x^n$$

$$a_n = (d_1 + nd_2 + n^2d_3) \cdot (-1)^n \quad \text{--- (1)}$$

but initial conditions,

$$a_0 = 1, a_1 = -2, a_2 = -1$$

where

$d_1, d_2, d_3$  are constants.

For  $n=0$ , in eqn (1)

$$a_0 = (d_1 + 0 + 0) \cdot 1$$

$$\Rightarrow \boxed{1 = d_1}$$

For  $n=1$ ,  $a_1 = (d_1 + d_2 + d_3)(-1)^1$   
 $\Rightarrow -2 = -(d_1 + d_2 + d_3)$   
 $\Rightarrow \boxed{d_1 + d_2 + d_3 = 2} \quad \text{--- ②}$

For  $n=2$ ,  $a_2 = (d_1 + 2d_2 + 4d_3)(-1)^2$   
 $\Rightarrow \boxed{-1 = d_1 + 2d_2 + 4d_3} \quad \text{--- ③}$

Solving eqn ② & ③

$$2(d_1 + d_2 + d_3) = 2$$

$$\frac{\begin{array}{r} d_1 + 2d_2 + 4d_3 = -1 \\ (-) \quad (-) \quad (-) \quad (+) \\ \hline d_1 - 2d_3 = 5 \end{array}}$$

$$\Rightarrow -2d_3 = 5 - 1 = 4$$

$$\Rightarrow \boxed{d_3 = -2}$$

$$d_1 + d_2 + d_3 = 2$$

$$\Rightarrow 1 + d_2 + (-2) = 2 \Rightarrow \boxed{d_2 = 3}$$

Substitute  $d_1, d_2, d_3$   
in eqn ①

$\therefore$  Hence the soln of recurrence relation

$$\text{is } a_n = (1 + 3n - 2n^2)(-1)^n$$

### Assignment

- ① solve  $a_n = a_{n-1} + 6a_{n-2}$ , For  $n \geq 2$   $a_0 = 3$ ,  $a_1 = 6$
- ②  $a_n = -4a_{n-1} - 4a_{n-2}$ ,  $a_0 = 0$ ,  $a_1 = 1$
- ③  $a_n = a_{n-1} + a_{n-2}$ ,  $a_0 = 0$ ,  $a_1 = 1$
- ④  $a_n = 6a_{n-1} - 11a_{n-2} + 6a_{n-3}$ , with  $a_0 = 2$
- ⑤  $a_n = 6a_{n-1} - 9a_{n-2}$ ,  $a_0 = 1$ ,  $a_1 = 5$ ,  $a_2 = 15$

Ans-②  $a_n = n \cdot (-2)^{n-1}$

③  $\frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n$

④  $a_n = 1 - 2^n + 2 \cdot 3^n$  ⑤  $a_n = (1+n) \cdot 3^n$

# Linear Non Homogeneous Recurrence Relation with Constant Coefficients

Suppose; The  $n$ th degree Linear Non Homogeneous R.R with constant coefficients is

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n) \quad (1)$$

The soln of eqn (1) is

$$a_n = a_n^{(h)} + a_n^{(p)}$$

where  $a_n^{(h)}$  = Homogeneous soln

$a_n^{(p)}$  = Particular soln.

Soln of Homogeneous R.R when  $F(n) = 0$

In previous, we have discussed, The homogeneous soln of Linear R.R.

Method of finding The Particular Soln.

when  $F(n) \neq 0$

To find Particular Soln of Recurrence Relation.

we will consider a ~~trial~~ Trial soln

on the basis of nature of  $F(n)$ .

Case I when  $F(n) = b^n$

and  $b$  is not a part of Characteristic eqn.

Suppose The Trial soln

$$a_n^{(p)} = p \cdot b^n$$

where 'p' is constant.

Case-II When  $F(n) = b^n$ , and  $b$  is a part of C.E.

(i) If multiplicity of  $b$  is one.

i.e.  $b$  is match only single root of C.E.

— Suppose the trial sol<sup>n</sup> is  $a_n^{(p)} = p \cdot n \cdot b^n$

(ii) If multiplicity of  $b$  is two

i.e.  $b$  is match with two root of C.E.

Then Suppose the Trial sol<sup>n</sup> is  $a_n^{(p)} = p n^2 \cdot b^n$

(iii) Similarly, if multiplicity of  $b$  is three.

i.e.  $b$  is match with three root of C.E.

Then, Suppose the trial sol<sup>n</sup> is  $a_n^{(p)} = p \cdot n^3 \cdot b^n$

Case-III When  $F(n)$  is a polynomial in  $n$ .

(1) If  $F(n)$  is a 1st degree poly. in  $n$ .

Then Trial sol<sup>n</sup> is  $a_n^{(p)} = p_0 + p_1 n$

(2) If  $F(n)$  is 2nd degree poly. in  $n$ .

Then Trial sol<sup>n</sup> is  $a_n^{(p)} = p_0 + p_1 n + p_2 n^2$

(3) If  $F(n)$  is a 3rd degree poly. in  $n$ :

Then Trial sol<sup>n</sup> is  $a_n^{(p)} = p_0 + p_1 n + p_2 n^2 + p_3 n^3$

Note - If  $F(n) = \text{constant } (k)$

and '1' is not a characteristic ~~eqn~~

root of The Homogeneous

Then assume a Particular sol<sup>n</sup>. eqn.

$a_n^{(p)} = p$   $p$ : constant

Ex-

Solve

$$a_n = 3a_{n-1} + 2n$$

Soln. Given  $a_n = 3a_{n-1} + 2n$  — (1)

Now, The associated homogeneous R.R is

$$a_n = 3a_{n-1}$$

∴ The characteristic eqn is

$$r - 3 = 0 \Rightarrow r = 3$$

∴ The homogeneous soln is  $a_n^{(h)} = d \cdot 3^n$  — (2)

Next to find particular soln

Here  $F(n) = 2n$  (is a polynomial of degree 1)

So, Then we will assume a particular soln is

$$a_n^{(p)} = p_0 + p_1 n$$
 — (3)

Now putting the value of  $a_n$  in eqn (1), we get

$$p_0 + p_1 n = 3(p_0 + p_1(n-1)) + 2n$$

$$\Rightarrow p_0 + p_1 n = 3p_0 + 3p_1 n - 3p_1 + 2n$$

$$\Rightarrow 2p_0 + 2p_1 n - 3p_1 + 2n = 0$$

$$\Rightarrow (2p_0 - 3p_1) + (2p_1 + 2)n = 0$$

It follows that  $p_0 + p_1 n$  is a soln

$$\Leftrightarrow 2p_0 - 3p_1 = 0 \text{ and } 2p_1 + 2 = 0$$

$$\Rightarrow 2p_0 + 3 = 0$$

$$\Rightarrow p_0 = -\frac{3}{2}$$

$$p_1 = -1$$

Putting the value of  $p_0$  &  $p_1$  in eqn (3)

$$a_n^{(p)} = -\frac{3}{2} + (-1) \cdot n = -\frac{3}{2} - n$$

∴ Hence the soln of R.R is

$$a_n = a_n^{(h)} + a_n^{(p)}$$

$$= d \cdot 3^n + \left(n - \frac{3}{2}\right)$$

Ex-4 Solve  $a_n = 5a_{n-1} - 6a_{n-2} + 7^n$

Soln Given R.R is  $a_n = 5a_{n-1} - 6a_{n-2} + 7^n$  — (1)

Now The associated homogeneous eqn is

$$a_n = 5a_{n-1} - 6a_{n-2}$$
$$\Rightarrow a_n - 5a_{n-1} + 6a_{n-2} = 0$$

$\therefore$  The Characteristic eqn is

$$x^2 - 5x + 6 = 0$$
$$\Rightarrow x^2 - 3x - 2x + 6 = 0 \Rightarrow (x-3)(x-2) = 0$$
$$\Rightarrow x = 3, 2$$

$\therefore$  The Homogeneous soln is

$$a_n^{(h)} = d_1 \cdot 3^n + d_2 \cdot 2^n$$
 — (2)

where  $d_1$  &  $d_2$  constants.

Next to find Particular Soln ( $a_n^{(p)} = ?$ )

Here  $F(n) = 7^n$

7 is not a characteristic root of homogeneous eqn

$\therefore$  Then, we assume that a trial soln.

$$a_n^{(p)} = P \cdot 7^n$$
 — (3) where P is constant.

Now substitute the value of  $a_n$  in eqn (1)

we get.

$$P \cdot 7^n = 5P \cdot 7^{n-1} - 6P \cdot 7^{n-2} + 7^n$$

$$\Rightarrow P = 5P \cdot 7^{-1} - 6P \cdot 7^{-2} + 1$$

$$\Rightarrow 7^2 P = 7 \cdot 5P - 6P + 7^2$$

$$\Rightarrow 49P = 35P - 6P + 49 \Rightarrow 20P = 49$$

Putting the value of 'P' in eqn (3)  $\Rightarrow P = \frac{49}{20}$

we get

$$a_n^{(p)} = \frac{49}{20} 7^n$$
 — (3)

Hence the Sol<sup>n</sup> of R.R is

$$a_n = a_n^{(h)} + a_n^{(p)}$$

$$\Rightarrow a_n = d_1 \cdot 3^n + d_2 \cdot 2^n + \frac{49}{20} \cdot 7^n$$

Ex-6 Solve,  $a_n = a_{n-1} + 7$

(Ans)

Sol<sup>n</sup> Given  $a_n = a_{n-1} + 7$  — (1)

Now the associated Homogeneous eq<sup>n</sup> is

$$a_n = a_{n-1}$$

$\therefore$  The characteristic eq<sup>n</sup> is  $r-1=0 \Rightarrow r=1$

$\therefore$  The Homogeneous sol<sup>n</sup> is  $a_n^{(h)} = d \cdot 1^n$

Next to find Particular Sol<sup>n</sup>

Since  $F(n) = 7$

we write  $F(n) = 7 \cdot 1^n$  ( $\because 7 = 7 \cdot 1^0 = 7 \cdot 1^1 = 7 \cdot 1^2 = \dots = 7 \cdot 1^n$ )

$\therefore 1$  is the characteristic root of Homogeneous eq<sup>n</sup>.

Then, we assume a particular sol<sup>n</sup>,

$$a_n^{(p)} = p \cdot n \cdot 1^n$$

$$\Rightarrow a_n^{(p)} = p \cdot n \quad (\because \text{multiplicity of } 1 \text{ is } 1)$$

Now substitute the value of  $a_n$  in eq<sup>n</sup> (1)

$$\text{we get } pn = p(n-1) + 7$$

Substitute the value of 'p' in eq<sup>n</sup> (2)  $\Rightarrow 0 = -p + 7 \Rightarrow p = 7$

$\therefore$  Particular sol<sup>n</sup>  $a_n^{(p)} = 7n$

Hence the Sol<sup>n</sup> of R.R is

$$a_n = a_n^{(h)} + a_n^{(p)}$$

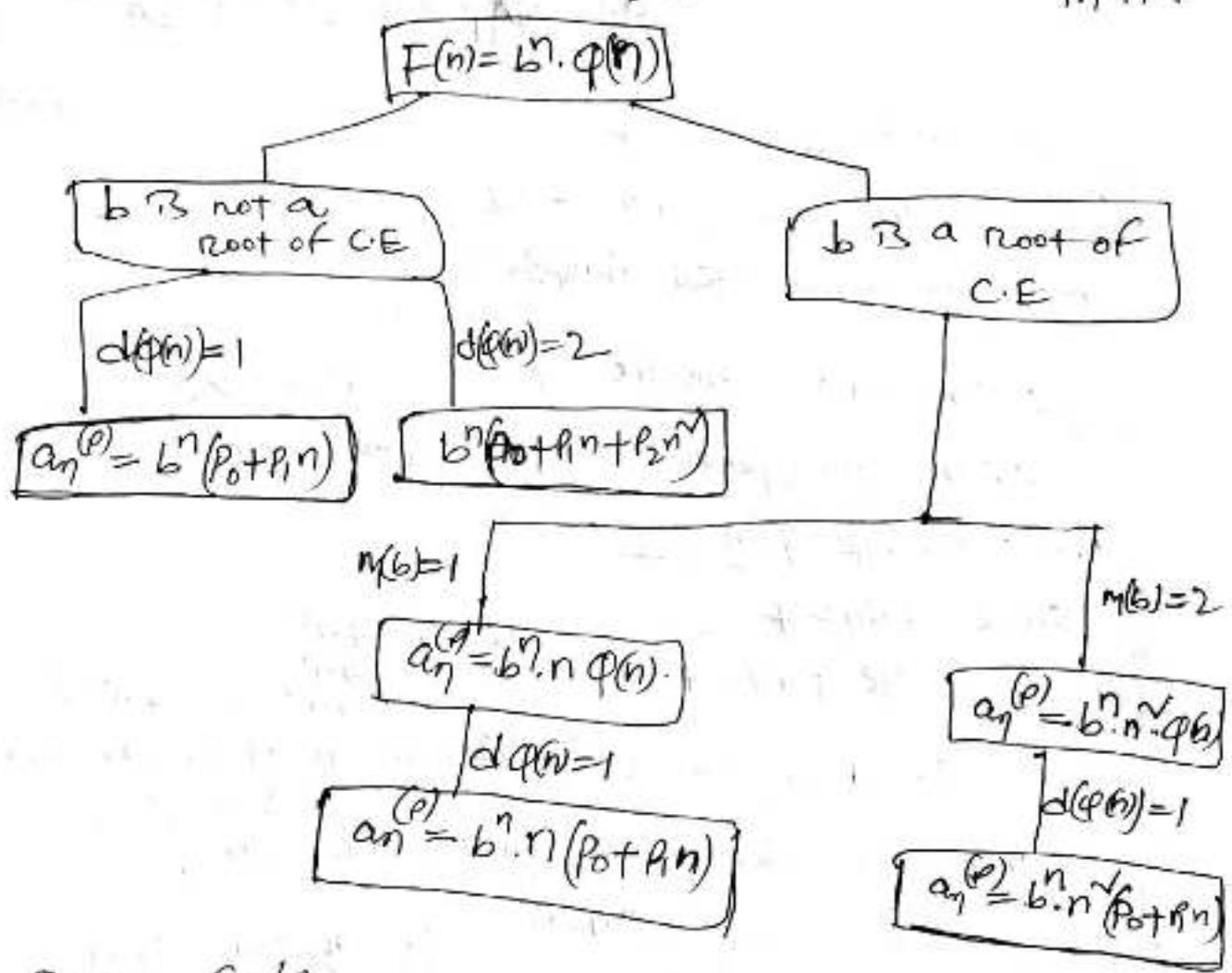
$$a_n = d \cdot 1^n + 7n$$

(Ans)

Case-4

When  $F(n) = b^n \cdot \phi(n)$

where  $b$  is constant,  
and  $\phi(n)$  is a polynomial  
in  $n$ .



Ex: Solve  $a_n = -a_{n-1} + 3n \cdot 2^n$

Sol: Given R.R  $a_n = -a_{n-1} + 3n \cdot 2^n$  — (1)

The associated Homogeneous eqn is

$$a_n = -a_{n-1}$$

$$\Rightarrow a_n + a_{n-1} = 0$$

$\therefore$  The Characteristic eqn is

$$r + 1 = 0 \Rightarrow r = -1$$

$\therefore$  The Homogeneous soln is  $a_n = d \cdot (-1)^n$  — (2)

Next to find Particular soln.

Since  $F(n) = 3n \cdot 2^n$

$\therefore 2$  is not a root of C.E.

and  $n$  of degree one.  
Then we will assume a particular sol<sup>n</sup>

$$\text{is } \boxed{a_n^{(p)} = 2^n (p_0 + p_1 n)} \quad \text{--- (3)}$$

Substitute the value of  $a_n$  in eqn (1)

$$2^n (p_0 + p_1 n) = -2^{n-1} (p_0 + p_1 (n-1)) + 3n \cdot 2^n$$

$$\Rightarrow 2^n (p_0 + p_1 n) = -2^n (-\frac{1}{2} (p_0 + p_1 (n-1)) + 3n)$$

$$\Rightarrow p_0 + p_1 n + \frac{1}{2} (p_0 + p_1 (n-1)) = 3n$$

$$\Rightarrow 3p_0 + 3p_1 n - p_1 = 6n$$

$$\Rightarrow (3p_0 - p_1) + (3p_1)n = 6n$$

• Comparing in both sides we get

$$3p_0 - p_1 = 0, \text{ and } 3p_1 = 6$$

$$\Rightarrow p_1 = 2$$

$$\therefore 3p_0 - p_1 = 0 \Rightarrow 3p_0 = 2$$

$$\text{Substitute } p_0, p_1 \text{ in eqn (3)} \Rightarrow p_0 = \frac{2}{3}$$

$$\text{we get } a_n^{(p)} = 2^n \left( \frac{2}{3} + 2n \right)$$

$\therefore$  Hence the sol<sup>n</sup> of R.R is

$$a_n = a_n^{(h)} + a_n^{(p)}$$

$$\boxed{a_n = 9 \cdot (-1)^n + 2^n \left( \frac{2}{3} + 2n \right)}$$

Ex(1) which are linear homogeneous  
and find degree?

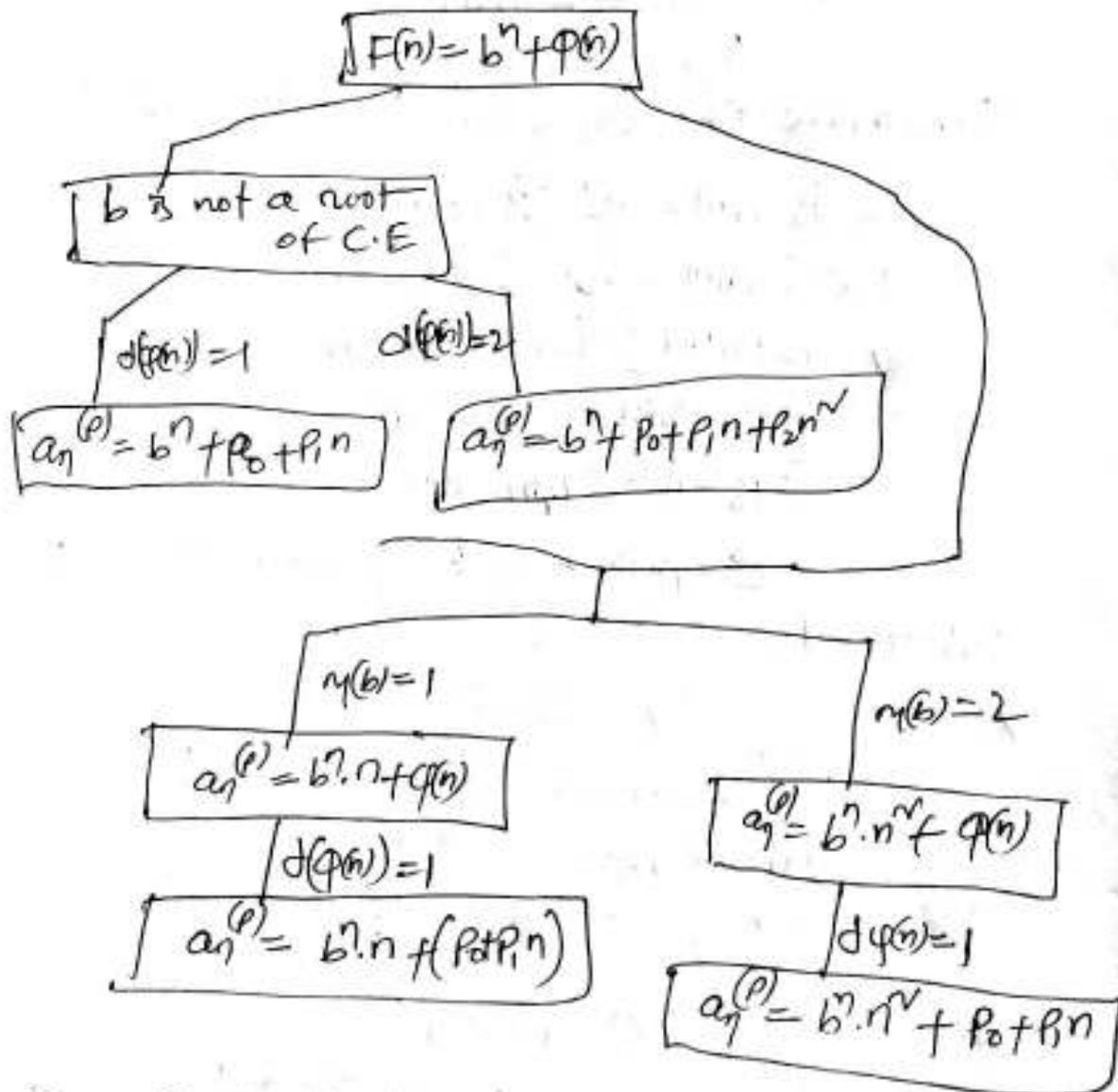
- |   |  |   |                    |             |
|---|--|---|--------------------|-------------|
| ① | $a_n = 3a_{n-1} + 4a_{n-2} + 5a_{n-3}$ | - | Linear Homogeneous | Ans         |
|   |  |   | degree = 3         |             |
| ② | $a_n = a_{n-1} + a_{n-2}$              | X |                    | not defined |
| ③ | $a_n = a_{n-1} + 2$                    | X |                    | "           |
| ④ | $a_n = a_{n-2}$                        | ✓ |                    | 2           |
| ⑤ | $a_n = 2na_{n-1} + a_{n-2}$            | X |                    | not defined |
| ⑥ | $a_n = a_{n-1} + n$                    | X |                    | "           |

Cases

When  $F(n) = b^n + \varphi(n)$

where  $\varphi(n)$  : Polynomial in  $n$

$b$  : Constant.



Ex- Solve  $a_n = 5a_{n-1} - 6a_{n-2} + 2^n + n$

Soln

Characteristic eqn is

$$r^2 - 5r + 6 = 0$$

$$\Rightarrow (r-3)(r-2) = 0 \Rightarrow r = 2, 3$$

$\therefore$  Homogeneous Soln is

$$a_n^{(h)} = d_1 \cdot 2^n + d_2 \cdot 3^n$$

Since  $F(n) = 2^n + n = b^n + \varphi(n)$

$\therefore 2$  is a root of homogeneous eqn.

and  $n$  is a polynomial of degree 1.  
Then we will assume a particular soln -

$$a_n^{(p)} = p b^n n + (p_0 + p_1 n)$$

Substitute the value  $a_n$  in eqn (1) -  
(you solve it)

$$\text{Ans - } a_n = -5 \cdot 2^n + \frac{17}{4} 3^n - n \cdot 2^{n+1} + \frac{n}{2} + \frac{7}{4}$$

### Assignment -

① Solve,  $a_n = 2a_{n-1} + 3^n$

②  $a_n = -5a_{n-1} - 6a_{n-2} + 3n^2 - 2n + 1$

③  $a_n = 2a_{n-1} - a_{n-2} + 7$

④  $a_n = 5a_{n-1} - 6a_{n-2} + 1$

⑤  $a_n = 4a_{n-1} - 4a_{n-2} + (n+1)2^n$

2nd degree polynomial  
So take  $a_n = p_0 + p_1 n + p_2 n^2$

Ans: ①  $a_n = d_1 \cdot 2^n + 3^{n+1}$

②  $a_n = d_1 \cdot (3)^n + d_2 \cdot (2)^n + \frac{1}{4} n^2 + \frac{13}{24} n + \frac{71}{288}$

④  $a_n = d_1 (3)^n + d_2 \cdot 2^n + \frac{1}{2}$

③  $a_n = (d_1 + d_2 n) n + \frac{7}{2} n^2$

⑤  $a_n = (d_1 + n d_2) 2^n + n^2 \left( \frac{n}{6} + 1 \right) 2^n$

⑥ <sup>Given</sup>  $a_n + 6a_{n-1} + 9a_{n-2} = 3$  — (1)

Hints -  $\lambda = -3, -3$

$$a_n^{(h)} = (d_1 + d_2 n) (-3)^n$$

$F(n) = 3$  (const.) and 1 is not a root of H.P.R.

So, assume

Substitute the value  $a_n^{(p)} = p$

of  $a_n$  in eqn (1) to find  $p = ?$  and  $a_n = a_n^{(h)} + a_n^{(p)}$

# Generating Function

Def<sup>n</sup>

The generating function for the sequence  $a_0, a_1, \dots, a_n, \dots$  of real numbers is the infinite series -

$$G(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n + \dots \\ = \sum_{k=0}^{\infty} a_k x^k$$

Ex-  $\{2^n\} = (2^0, 2^1, 2^2, \dots)$

$$G(x) = 2^0 + 2^1x + 2^2x^2 + \dots + 2^nx^n + \dots \\ = \sum_{n=0}^{\infty} 2^n x^n \quad \text{which can be written} \\ \text{closed form } G(x) = (1-2x)^{-1} = \frac{1}{1-2x}$$

Ex- Find Generating function for the following sequence.

①  $\{1, 1, 1, 1, \dots\}$

$$G(x) = 1 + x + x^2 + \dots \\ = (1-x)^{-1}$$

②  $\{1, -2, 3, -4, \dots\}$

$$G(x) = 1 - 2x + 3x^2 - 4x^3 + \dots \\ = (1+x)^{-2}$$

③  $\{0, 1, 2, 3, \dots\}$

$$G(x) = 0 + 1x + 2x^2 + \dots \\ = x(1 + 2x + 3x^2 + \dots) \\ = x(1-x)^{-2}$$

④  $a_n = \{1, 3, 9, 27, \dots\}$

$$G(x) = 1 + 3x + 9x^2 + 27x^3 + \dots \\ = 1 + 3x + (3x)^2 + (3x)^3 + \dots \\ = (1-3x)^{-1} \quad (\because (1-d)^{-1} = 1 + d + d^2 + d^3 + \dots)$$

## Some important Results

Generating function (G(x))	Numeric function (a <sub>k</sub> )
$\textcircled{1} \quad G(x) = \sum_{k=0}^{\infty} 1 \cdot x^k = 1 + x + x^2 + \dots + x^k + \dots$ $= (1-x)^{-1} = \frac{1}{1-x}$	$a_k = 1$
$\textcircled{2} \quad G(x) = \sum_{k=0}^{\infty} r \cdot x^k = r + rx + rx^2 + \dots + rx^k + \dots$ $= r(1-x)^{-1} = \frac{r}{1-x}$	$a_k = r$
$\textcircled{3} \quad G(x) = \sum_{k=0}^{\infty} a^k \cdot x^k = 1 + ax + a^2x^2 + \dots + a^kx^k + \dots$ $= (1-ax)^{-1} = \frac{1}{1-ax}$	$a_k = a^k$ where 'a' is constant. and
$\textcircled{4} \quad G(x) = \sum_{k=0}^{\infty} k \cdot x^k = 0 + x + 2x^2 + 3x^3 + \dots + kx^k + \dots$ $= x(1 + 2x + 3x^2 + \dots + kx^{k-1} + \dots)$ $= x(1-x)^{-2}$ $= \frac{x}{(1-x)^2}$	$a_k = k$
$\textcircled{5} \quad G(x) = \sum_{k=0}^{\infty} k^2 \cdot x^k = 0 + 1x + 2^2x^2 + 3^2x^3 + \dots$ $+ \dots + k^2x^k + \dots$ $= x(x+1)(1-x)^{-3} = \frac{x(x+1)}{(1-x)^3}$	$a_k = k^2$
$\textcircled{6} \quad G(x) = \sum_{k=0}^{\infty} (k+1) \cdot x^k = 1 + 2x + 3x^2 + \dots + (k+1)x^k + \dots$ $= (1-x)^{-2} = \frac{1}{(1-x)^2}$	$a_k = k+1$
$\textcircled{7} \quad G(x) = 1 \cdot 2 \cdot x + 2 \cdot 3 \cdot x^2 + 3 \cdot 4 \cdot x^3 + \dots$ $+ \dots + k(k+1) \cdot x^k + \dots$ $= 2x(1-x)^{-3} = \frac{2x}{(1-x)^3}$	$a_k = k(k+1)$
$\textcircled{8} \quad G(x) = \sum_{k=0}^{\infty} \frac{x^k}{k!} = 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots$ $= e^x$	$a_k = \frac{1}{k!}$



Taking summation  $k=\phi$  to  $\infty$  if both sides we get

$$\sum_{k=1}^{\infty} a_k x^k = \sum_{k=1}^{\infty} 3a_{k-1} x^k \\ = 3 \sum_{k=1}^{\infty} a_{k-1} x^k$$

$$\Rightarrow a_1 x^1 + a_2 x^2 + \dots + a_k x^k + \dots$$

$$= 3(a_0 x^1 + a_1 x^2 + a_2 x^3 + \dots)$$

$$\Rightarrow (a_0 + a_1 x + a_2 x^2 + \dots + a_k x^k + \dots) - a_0$$

$$= 3x(a_0 + a_1 x + a_2 x^2 + \dots)$$

$$\Rightarrow \sum_{k=0}^{\infty} a_k x^k - a_0 = 3x \sum_{k=0}^{\infty} a_k x^k$$

$$\Rightarrow G(x) - a_0 = 3x G(x)$$

$$\Rightarrow G(x) - 3x G(x) = a_0$$

$$\Rightarrow G(x)(1-3x) = 2$$

$$\Rightarrow \boxed{G(x) = \frac{2}{1-3x}}$$

$$\Rightarrow G(x) = 2 \sum_{k=0}^{\infty} 3^k \cdot x^k$$

$$\Rightarrow \boxed{G(x) = \sum_{k=0}^{\infty} 2 \cdot 3^k \cdot x^k}$$

where  $a_k = 2 \cdot 3^k$

$$\left( \because \frac{1}{(1-ax)} = \sum_{k=0}^{\infty} a^k x^k \right)$$

Example-2 Solve R.R. using Generating function

$$a_k - 2a_{k-1} - 3a_{k-2} = 0$$

with initial condition

$$a_0 = 3, a_1 = 1$$

Soln - Given R.R. is

$$a_k - 2a_{k-1} - 3a_{k-2} = 0$$

Taking  $x^k$  multiply in both sides

$$\Rightarrow a_k x^k - 2a_{k-1} x^k - 3a_{k-2} x^k = 0$$

$\Rightarrow$  Taking summation  $k=2$  to  $\infty$

$$\Rightarrow \underbrace{\sum_{k=2}^{\infty} a_k x^k}_{1st\ part} - 2 \underbrace{\sum_{k=2}^{\infty} a_{k-1} x^k}_{2nd\ part} - 3 \underbrace{\sum_{k=2}^{\infty} a_{k-2} x^k}_{3rd\ part} = 0$$

1st part

$$\begin{aligned} \sum_{k=2}^{\infty} a_k x^k &= a_2 x^2 + a_3 x^3 + \dots \\ &= a_0 + a_1 x + a_2 x^2 + a_3 x^3 + \dots \\ &\quad - a_0 - a_1 x \end{aligned}$$

$$= G(x) - a_0 - a_1 x$$

2nd part

$$\begin{aligned} \sum_{k=2}^{\infty} a_{k-1} x^k &= a_1 x^2 + a_2 x^3 + \dots \\ &= x(a_1 x + a_2 x^2 + \dots) \\ &= x(a_0 + a_1 x + a_2 x^2 + \dots) \\ &= x G(x) - a_0 x \\ &= x(G(x) - a_0) \end{aligned}$$

3rd part

$$\begin{aligned}\sum_{k=2}^{\infty} a_k x^{-2k} &= a_0 x^2 + a_1 x^3 + \dots \\ &= x^2 (a_0 + a_1 x + \dots) \\ &= x^2 G(x)\end{aligned}$$

Now substituting 1st part, 2nd part, 3rd part  
in eqn (1) we get

$$\Rightarrow G(x) - a_0 - a_1 x - 2 \cdot (x(G(x) - a_0) - 3x^2 G(x)) = 0$$

$$\Rightarrow G(x) - 3 - x - 2(x(G(x) - 3) - 3x^2 G(x)) = 0 \quad \begin{array}{l} \text{Here } a_0 = 3 \\ a_1 = 1 \end{array}$$

$$\Rightarrow G(x) - 3 - x - 2x(G(x) - 3) + 6x - 3x^2 G(x) = 0$$

$$\Rightarrow G(x) - 3 - x - 2xG(x) + 6x - 3x^2 G(x) = 0$$

$$\Rightarrow G(x)(1 - 2x - 3x^2) = 3 + x - 6x$$

$$\Rightarrow G(x) = \frac{3 - 5x}{1 - 2x - 3x^2} = \frac{3 - 5x}{(1 - 3x)(1 + x)}$$

$$\left( \text{Using partial fraction} \right) = \frac{3 - 5x}{(1 - 3x)(1 + x)}$$

$$= \frac{1}{1 - 3x} + \frac{2}{1 + x}$$

$$= \sum_{k=0}^{\infty} 3^k \cdot x^k + 2 \cdot \sum_{k=0}^{\infty} (-1)^k \cdot x^k$$

$$= \sum_{k=0}^{\infty} 3^k \cdot x^k + \sum_{k=0}^{\infty} 2 \cdot (-1)^k \cdot x^k$$

$$G(x) = \sum_{k=0}^{\infty} (3^k + 2 \cdot (-1)^k) x^k$$

where  $a_k = 3^k + 2 \cdot (-1)^k$

### Assignment

① use Generating function to solve  
R.R  $a_k = 7a_{k-1}$  with  $a_0 = 5$

②  $a_k = 5a_{k-1} - 6a_{k-2}$ ,  $a_0 = 6$ ,  
 $a_1 = 30$

③  $a_k = 8a_{k-1} + 10^{k-1}$   
initial condition  
 $a_1 = 9$

④  $a_k = 4a_{k-1} - 4a_{k-2} + k^2$ ,  $a_0 = 2$   
 $a_1 = 5$

Ans-②  $a_k = 18 \cdot 3^k - 12 \cdot 2^k$

③  $a_k = \frac{1}{2} (8^k + 10^k)$

④  $a_k = k^2 + 8k + 20 + (6k - 18)2^k$

# Principle of Inclusion-Exclusion

For any three sets A, B, C

$$(1) |A \cup B| = |A| + |B| - |A \cap B|$$

$$(2) |A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |B \cap C| - |A \cap C| + |A \cap B \cap C|$$

(Addition principle)

Note (1) If  $A \cap B = \emptyset$ , then  $|A \cap B| = 0$

$$\therefore |A \cup B| = |A| + |B|$$

$$(2) |A \cup B| \leq |A| + |B| \quad (3) |A \cap B| \leq \min(|A|, |B|)$$

$$(4) |A - B| \geq |A| - |B|$$

Suppose for any four sets A, B, C, D

Then we write union of the 4 sets -

$$\begin{aligned} |A \cup B \cup C \cup D| &= |A| + |B| + |C| + |D| - |A \cap B| - |A \cap C| \\ &\quad - |A \cap D| - |B \cap C| - |B \cap D| - |C \cap D| \\ &\quad + |A \cap B \cap C| + |A \cap B \cap D| + |A \cap C \cap D| \\ &\quad + |B \cap C \cap D| - |A \cap B \cap C \cap D| \end{aligned}$$

In general For a given finite set

$A_1, A_2, \dots, A_n$

The no. of elements in the union

$A_1 \cup A_2 \cup \dots \cup A_n$  is

$$|A_1 \cup A_2 \cup \dots \cup A_n| = \sum_{i=1}^n |A_i| - \dots ?$$

$$|A_1 \cup A_2 \cup \dots \cup A_n| = \sum_{1 \leq i \leq n} |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j|$$

$$+ \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| - \dots + (-1)^{n+1} |A_1 \cap A_2 \cap \dots \cap A_n|$$

Example 1 A total of 1232 students have taken a course in Spanish, 879 have taken a course in French, and 114 have taken a course in Russian. Further, 103 have taken courses in both Spanish and French, 23 have taken courses in both Spanish and Russian and 14 have taken courses in both French and Russian, If 2092 students have taken at least one of Spanish, French and Russian, how many students have taken a course in all three languages?

Soln Let S be the set of students who have taken a course in Spanish.  
 F: set of students who have taken a course in French.  
 R: set of students who have taken a course in Russian.

Then  $|S| = 1232$ ,  $|F| = 879$ ,  $|R| = 114$ ,  
 $|S \cap F| = 103$ ,  $|S \cap R| = 23$ ,  $|F \cap R| = 14$

and  $|S \cup F \cup R| = 2092$

~~now~~  $|S \cap F \cap R| = ?$

Now we have

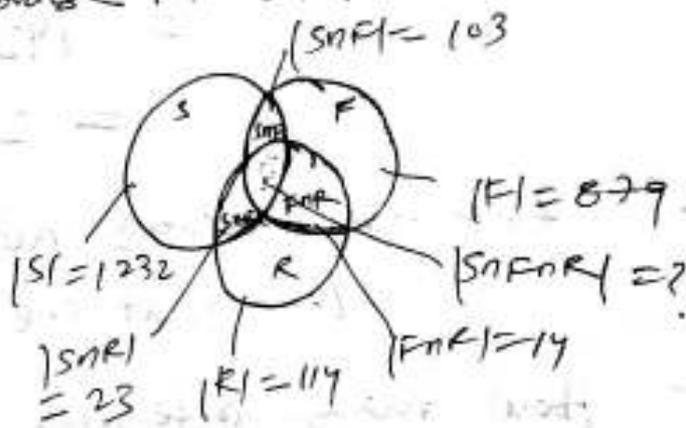
$$|S \cup F \cup R| = |S| + |F| + |R| - |S \cap F| - |S \cap R| - |F \cap R| + |S \cap F \cap R|$$

$$2092 = 1232 + 879 + 114 - 103 - 23 - 14 + |S \cap F \cap R|$$

$\Rightarrow |S \cap F \cap R| = 7$

$\therefore$  There are 7 students who have taken a course in S, F, and R.

Ven Diagram



Example 2 How many positive integers not exceeding 1000 are divisible by 7 or 11.

Soln - Let A be the set of the integers not exceeding 1000 that are divisible by 7.

B be the set of the integers not exceeding 1000 that are divisible by 11.

$A \cup B$  is the set of integers not exceeding 1000 that are divisible by 7 or 11.

and  $A \cap B$  is the set of integers not exceeding 1000 that are divisible by both 7 and 11.

Now we have

$$\begin{aligned} |A \cup B| &= |A| + |B| - |A \cap B| \\ &= \left\lfloor \frac{1000}{7} \right\rfloor + \left\lfloor \frac{1000}{11} \right\rfloor - \left\lfloor \frac{1000}{7 \cdot 11} \right\rfloor \\ &= 142 + 99 - 12 \\ &= 220 \end{aligned}$$

$\therefore$  220 are the integers not exceeding 1000 that are divisible by 7 or 11.

Ex - How many integers between 1 and 250 that are divisible by any of integers 2, 3, 5 & 7.

Sol<sup>n</sup> Let  $A_1$ : set of integers ~~between 1 and 250~~ that are divisible by 2.

$A_2$ : " " " " " " 3

$A_3$ : " " " " " " 5

$A_4$ : " " " " " " 7

$$|A_1| = \left\lfloor \frac{250}{2} \right\rfloor = 125$$

$$|A_2| = \left\lfloor \frac{250}{3} \right\rfloor = 83$$

$$|A_3| = \left\lfloor \frac{250}{5} \right\rfloor = 50, \quad |A_4| = \left\lfloor \frac{250}{7} \right\rfloor = 35$$

$$|A_1 \cap A_2| = \left\lfloor \frac{250}{5 \times 3} \right\rfloor = 41, \quad |A_1 \cap A_3| = \left\lfloor \frac{250}{2 \times 5} \right\rfloor = 25$$

$$|A_1 \cap A_4| = \left\lfloor \frac{250}{2 \times 7} \right\rfloor = 17, \quad |A_2 \cap A_3| = \left\lfloor \frac{250}{3 \times 5} \right\rfloor = 16$$

$$|A_2 \cap A_4| = \left\lfloor \frac{250}{3 \times 7} \right\rfloor = 11, \quad |A_3 \cap A_4| = \left\lfloor \frac{250}{5 \times 7} \right\rfloor = 7$$

$$|A_1 \cap A_2 \cap A_3| = \left\lfloor \frac{250}{2 \times 3 \times 5} \right\rfloor = 8, \quad |A_1 \cap A_3 \cap A_4| = \left\lfloor \frac{250}{2 \times 5 \times 7} \right\rfloor = 3$$

$$|A_1 \cap A_2 \cap A_4| = \left\lfloor \frac{250}{2 \times 3 \times 7} \right\rfloor = 5, \quad |A_2 \cap A_3 \cap A_4| = \left\lfloor \frac{250}{3 \times 5 \times 7} \right\rfloor = 2$$

$$|A_1 \cap A_2 \cap A_3 \cap A_4| = \left\lfloor \frac{250}{2 \times 3 \times 5 \times 7} \right\rfloor = 1$$

we have

$$\begin{aligned} |A_1 \cup A_2 \cup A_3 \cup A_4| &= 125 + 83 + 50 + 35 - 41 - 25 \\ &\quad - 17 - 16 - 11 - 7 + 8 + 5 + 3 + 2 \\ &= 193 \end{aligned}$$